Subject: Approved Models and Manufacturers of Solar Photovoltaic Modules (Requirements for Compulsory Registration), Order 2019 — reg.


2. The said Order, inter-alia, provides for:

   i. ALMM shall consist of LIST-I, specifying models and manufacturers of Solar PV Modules and LIST-II, specifying models and manufacturers of Solar PV Cells. The effective dates for operationalizing of these Lists would be as follows:

<table>
<thead>
<tr>
<th>LIST DETAILS</th>
<th>EFFECTIVE DATE FOR IMPLEMENTATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>LIST-I, Solar PV Modules</td>
<td>31.03.2020</td>
</tr>
<tr>
<td>LIST-II, Solar Cells</td>
<td>31.03.2020</td>
</tr>
</tbody>
</table>

   ii. After 31.03.2020, only the models and manufacturers included in ALMM Lists (of solar PV Cells and Modules) will be eligible for use in Government/ Government assisted Projects/ Projects under Government Schemes & Programmes, to be implemented in the country, including Projects set-up for sale of electricity to Government under "Guidelines for Tariff Based Competitive Bidding Process for Procurement of Power from Grid Connected Solar PV Power Projects dated 03.08.2017 and the amendments thereof". The word "Government" shall include Central Government, State Governments, Central Public Sector Enterprises, State Public Sector Enterprises and, Central and State Organisations/ Autonomous bodies.

   iii. All projects for which bids have been finalised before the issuance of order dated 02.01.2019 mentioned in para 1 above shall stand exempted from the mandatory requirement of procurement of cells and modules enlisted in the ALMM order.

3. MNRE, on various occasions, has written to Pr. Secretary (Power/ Energy/ Renewable Energy) of all States, CMD, NTPC Ltd. and MD, SECI, forwarding a copy of this Order, for information and necessary compliance and ensuring that suitable provisions are made in the tender documents, for all bids, in accordance with the instructions contained in Para 4 and Para 5 of the said Order.

4. The associations of solar power developers and solar equipment manufacturers have also been kept informed of this Order and the requirement for compliance thereto.

5. It is especially important for the banks & financial institutes, involved in financing of Renewable Energy projects, to know and understand this Order so that the same can be ensured as the necessary requirement while undertaking the project appraisal. Accordingly, while MNRE is hereby writing to some of the main banks/financial institutes, Department of Financial Services is requested to bring it to the notice of all concerned banks & financial institutes for necessary compliance.

6. In addition to above, it is hereby once again brought to the notice of all State Governments & concerned State Government agencies, including Discoms and Renewable Energy Development Agencies, PSUs working in RE sector, associations of solar power developers and solar equipment manufacturers, banks & Financial Institutes etc. regarding the said Order.
7. All concerned may take necessary action to align with the said order of the Ministry of New & Renewable Energy, Government of India.

8. This issues with the approval of Secretary, MNRE.

(Sanjay Karndhar)
Scientist-C
Tel: 011-24360707; Extn. No. 2021
Telefax: 011-24362488
Email: karndhar.sg@nic.in

To
1. The Secretary, Department of Financial Services, Ministry of Finance, 3rd Floor, Jeevan Deep Building, Sansad Marg, New Delhi-110001
2. Additional Chief Secretaries/Principal Secretary/Secretaries of Power/Energy/Renewable Energy of States/UT’s
3. The Managing Director, Solar Energy Corporation of India Limited (SECI), 1st Floor, D-3, A Wing, Prius Platinum Building District Centre, Saket, New Delhi — 110017
4. The Chairman and Managing Director, NTPC Limited, NTPC Bhawan, SCOPE Complex, Institutional Area, Lodhi Road, New Delhi - 11000
5. The Chairman & Managing Director, Power Finance Corporation of India, Fire Brigade Lane, Barakhamba, New Delhi, Delhi 110001.
6. The Chairman and Managing Director, Rural Electrification Corporation Limited (REC), Core-4, SCOPE Complex, 7, Lodhi Road, CGO Complex, Pragati Vihar, New Delhi, Delhi 110003
7. The Chairman and Managing Director, Indian Renewable Energy Development Agency (IREDA), Core-4A, 1st Floor, India Habitat Centre, East Court, Lodhi Road, New Delhi, Delhi 110003.
8. The PSUs/State Nodal Agencies/DISCOs/Developers/Manufacturer Association (as per list)
9. All Nationalised Banks
11. National Solar Energy Federation of India (NSEFI), 702, Chiranjiv Tower, 43, Nehru Place, New Delhi — 110019.
12. Indian Bank Association, World Trade Centre Complex, 6th Floor Centre 1 Building, World Trade Centre Complex, Cuff Parade, Mumbai — 400005

Copy to:
1. Director, NIC, MNRE, for uploading on MNRE Website.

Copy for internal circulation:

1. Sr. PPS to Secretary
2. PPS to AS
3. Sr. PPS to JS (AKS)
4. Dir (RG)
OFFICE MEMORANDUM

Approved Models and Manufacturers of Solar Photovoltaic Modules (Requirements for Compulsory Registration) Order, 2019


2. The above referred order of this Ministry, and amendments thereof, had been issued, inter-alia, with a view to ensure quality of solar cells, solar modules, used in solar PV power plants, by way of compliance of solar PV cells & modules with the applicable standards and testing requirements. However, since solar PV power installations are set up for a period of 25 years and solar PV cells and modules used in plants require long term warranty, it is desirable to ensure that such products are indeed made in units in which production has been claimed. It is possible that some units may claim production of solar cells & modules produced or made elsewhere. The reliability of producer is essential to protect the consumer interests and ensure larger energy security of the Country.

3. Accordingly, the Ministry of New and Renewable Energy (MNRE) has decided to enlist the eligible models and manufacturers of solar PV cells and modules complying with the BIS Standards and publish the same in a list called the “Approved List of Models and Manufacturers” (ALMM). Only the models and manufacturers included in this list will be eligible for use in Government/ Government assisted Projects/ Projects under Government Schemes & Programmes, installed in the country, including Projects set-up for sale of electricity to Government under "Guidelines for Tariff Based Competitive Bidding Process for Procurement of Power from Grid Connected Solar PV Power Projects dated 3rd Aug' 2017 and the amendments thereof". The word “Government” shall include Central Government, State Governments, Central Public Sector Enterprises, State Public Sector Enterprises and, Central and State Organisations/ Autonomous bodies.

4. ALMM shall consist of LIST-I, specifying models and manufacturers of Solar PV Modules and LIST-II, specifying models and manufacturers of Solar PV Cells. The effective dates for operationalizing of these Lists would be as follows:

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<td>31st March' 2020</td>
</tr>
</tbody>
</table>
After the effective date, all projects falling under the purview of Paragraph 3 above shall have to source their modules from models and manufacturers included in LIST-I. Further, for deployment of modules after the effective date in projects covered under para (3) above, the Solar PV Module manufacturers enlisted in List-I have to mandatorily source Solar Cells from the manufacturers enlisted in LIST-II. All projects for which bids have been finalised before the issuance of this order shall stand exempted from the mandatory requirement of procurement of cells and modules enlisted in the ALMM order. All projects that would be bid-out, henceforth, following "Guidelines for Tariff Based Competitive Bidding Process for Procurement of Power from Grid Connected Solar PV Power Projects", shall have a clause in their RIS that the cells and modules used in such projects shall be from the models and manufacturers included in ALMM list. However, in order to allow smooth transition, such projects where the bids have not been finalised before the issuance of this order, will be permitted to procure outside the ALMM LIST-I till 31st March’2020. In case of procurement from within India, the date of procurement shall mean the date of dispatch while in case of procurement from outside India, the date of procurement shall mean the date of filing of bill of entry with Indian Customs.

5. The provisions of Department of Industrial Policy and Promotion’s (DIPP’s) “Public Procurement (Preference to Make in India), Order 2017”, dated 15th June, 2017; and the Ministry of New & Renewable Energy’s (MNRE’s) “Implementation of Public Procurement (Preference to Make in India) Order for Renewable Energy sector”, dated 11th December, 2018 shall also be applicable wherever the procurement is by a "Procuring Entity" as defined in the DIPP's Order dated 15th June, 2017. In all such cases, not only the domestic content requirement contained in these Orders shall be followed, but also the modules shall be sourced from models and manufacturers included in LIST-I of ALMM.

6. For being eligible to be included in the List-I, the manufacturers should first get the BIS registration/certification, as applicable, under the “Solar Photovoltaics, Systems, Devices and Components Goods (Requirements for Compulsory Registration) Order, 2017", or any amendment thereof, or any order issued in supersession of this order, for each model. Such enlistment shall be valid for a period of two years and can be further renewed on submission of necessary documentary evidence for the continued satisfactory performance of their products.

7. Before inclusion in the ALMM list, a team of Ministry of New and Renewable Energy shall conduct an inspection of the manufacturing facility of the manufacturers whose models have been registered/certified by BIS as per the “Solar Photovoltaics, Systems, Devices and Components Goods (Requirements for Compulsory Registration) Order, 2017", or any amendment thereof, or any order issued in supersession of this order. During the inspection, the team shall conduct the production and sale audit of the company to ensure that the solar cells and modules being supplied are indeed made in the unit.

8. The Ministry will be empowered to conduct the said audit at any time in order to check any complaint. The models and manufacturers shall be enlisted in ALMM for a period of two years by an order of the Ministry of New and Renewable Energy after it is satisfied that such models meet the prescribed standard and the manufacturer has sufficient capacity to manufacture them based on facility inspection.

9. The models and the manufacturers so enlisted, shall be subjected to random quality/tests, including inspection of manufacturing premises and in case of any failure or non-compliance by the enlisted manufacturer, they shall be removed from the Approved List of Models and Manufacturers (ALMM).
10. The present instructions apply to all solar PV cells and modules being manufactured under any technology.

11. Following procedure shall be followed while enlisting the manufacturers of solar PV cells and modules:

a. While applying, the Applicant manufacturers have to submit, apart from applicable fee prescribed by MNRE from time to time, documentary evidence of their financial and physical manufacturing capabilities, ranging over the previous three years, or the period of existence of such units, whichever is less. The evidence would include the details of purchase of raw materials, production & sales details, profit & loss account, statement of assets & liabilities etc.

b. As a measure of facilitation, wherever such details as required at (a) above, are also required to be submitted as statutory requirements under different laws/regulations in the Country (eg. Company's Act, Income Tax Act, GST Act etc.), and are already being subjected to scrutiny, such documentary evidence may be taken as sufficient for the purpose of preliminary assessment.

c. Wherever such details, as required at (a) above, are not being submitted as statutory requirements under different laws/regulations in the Country, or that these units are operating beyond the jurisdiction of Indian Laws, where necessary documentary evidence may not be available for the purpose of preliminary assessment, in all such cases, the applicant's unit(s) shall be first subjected to preliminary inspection by MNRE or any agency authorised by MNRE, for this purpose.

d. After satisfactory verification of the physical establishment and operations of the unit, the applicant shall be required to submit details, on monthly basis, for the next one year, of purchase of raw materials, production & sale of finished goods, bank statement(s) reflecting such transactions of sale and purchase, satisfactory performance recommendation certificate(s) from their buyer(s) and also solvency certificate from their banks.

e. All such units where the preliminary assessment has been done, and where it is found that there are no major operational issues or performance related defaults, the units shall qualify for site inspection.

f. The manufacturers, willing for enlistment, shall apply for the same in the prescribed Application Format. Separate applications to be submitted for each model.

g. The following certified documents/ information, duly signed by Authorised Signatory, shall be provided with the Application:

i. Copy of Certificate of Incorporation.

ii. Document authorising the Signatory to sign the Application.

iii. Copy of BIS registration/certification, as applicable.

iv. Copy of Certificates declaring Standards from Accredited Labs of BIS.

v. Affidavit and Indemnity Bond in the prescribed format signed by Authorised Signatory and attested by Judicial Magistrate or Executive Magistrate or Notary Public.
h. The Approved List of Models and Manufacturers (ALMM) will be uploaded on the MNRE website and will be quarterly updated.

i. Such enlistment shall be valid for a period of two years and can be further renewed on submission of necessary documentary evidence, along with applicable fee, for the continued satisfactory performance of their products, as mentioned at 10(a) above. Wherever, such details, as required at S. No. 11(a) above, are not being submitted as statutory requirements under different laws/regulations in the country, or that these units are operating beyond the jurisdiction of Indian Laws, the renewal of enlistment would be subject to the site inspection of the applicant unit.

j. The Applications for inclusion/ renewal in ALMM, along with all required documents should be submitted to:

Shri Sanjay Karndhar,
Scientist-C
Grid Solar Power Division
Ministry of New & Renewable Energy
Block no. 14, CGO Complex, Lodhi Road, New Delhi-110003

(Sanjay Karndhar)
Scientist-C

To: All Concerned

Copy to: Director (Technical), MNRE, for uploading on MNRE Website